DECLARATION AND POWER OF ATTORNEY FOR ORIGINAL U.S. PATENT APPLICATION



Attorney's Docket No. HLAVP001

As a below-named inventor, I hereby declare that:

(Application No.)

(Application No.)

My residence, post office address and citizenship are as stated below next to my name. I believe that I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: METHOD AND APPARATUS FOR CATHETER-BASED ANNULOPLASTY, the specification of which, 1. \Box is attached hereto. (check one) 2. was filed on April 24, 2001 U.S. Application No. <u>09/841,968</u> and was amended on _____ 3. was filed on International PCT Application No. and was amended on _____ I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, CFR § 1.56. I hereby claim foreign priority benefits under Title 35, United States code, § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed: Priority Benefits Claimed? Prior Foreign Application(s) ☐Yes ☐No (Filing Date) (Appl. No.) (Country) ☐Yes ☐No (Country) (Filing Date) (Appl. No.) I hereby claim the benefit under 35 U.S.C. §119(e) of any United States provisional application(s) listed below: Prior Provisional Application(s)

(Filing Date)

(Filing Date)

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s), or § 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

Prior U.S. Application(s)						
(Application No.)	(Filing Date)	(Status - patented, pending, abandoned) (Status - patented, pending, abandoned)				
(Application No.)	(Filing Date)					
	lo. 40,043); and Peggy A.	cluding Michael J. Ritter (Reg. No. 36,653); Dan H. Lang (Reg Su (Reg. No. 41,336) as my principal attorneys to prosecute thi Office connected therewith:				
Please Direct all Correspondence To:	Ritter, Lang & Kapla	Customer No. 26541 Ritter, Lang & Kaplan LLP 12930 Saratoga Ave., Suite D1 Saratoga, CA 95070				
Direct Telephone Calls To:	Peggy A. Su at telepho	none number (408) 446-8696				
are believed to be true; and further that	these statements were mad ment, or both, under section	ge are true and that all statements made on information and belies with the knowledge that willful false statements and the like so 1001 of Title 18 of the United States Code, and that such willful patent issuing thereon.				
Typewritten Full Name of First Inventor:Edwi	in J. Hlavka	Citizenship: USA				
Inventor's signature:		Date of Signature: 7/5/0)				
Address: (City) Palo	Alto	(State/Country)CA/US				
Post Office Address: 40 Kg	ent Place, Palo Alto, CA 94	301				
Typewritten Full Name of Second Inventor: Paul	A. Spence	Citizenship: <u>Canada</u>				
Inventor's signature:		Date of Signature:				

KY/US

(State/Country)

5818 Orion Road, Louisville, Kentucky 40222

Louisville

Address:

Post Office Address:

(City)

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s), or § 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

Prior U.S. Application(s)						
(Application No.)		(Filing Date)	(Status	(Status - patented, pending, abandoned) (Status - patented, pending, abandoned)		
		(Filing Date)	(Status			
And I hereby appoint the law N . 38,531); Cindy S. Kapl application and to transact all	an (Reg. No.	40,043); and Peggy	A. Su (Reg. 1	No. 41,336) as my	eg. No. 36,653); Dan H. principal attorneys to pr	Lang (Reg. osecute this
Please Direct all Correspondence To:		Customer No. 26541 Ritter, Lang & Kaplan LLP 12930 Saratoga Ave., Suite D1 Saratoga, CA 95070				
Direct Telephone Calls To:		Peggy A. Su at telephone number (408) 446-8696				
I hereby declare that all states are believed to be true; and f made are punishable by fine of false statements may jeopardi	urther that the imprisonme	ese statements were n ent, or both, under sec	nade with the tion 1001 of 1	knowledge that will Fitle 18 of the Unite	llful false statements and	the like so
Typewritten Full Name of First Inventor:	Edwin	J. Hlavka		Citizenship:	USA	
Inventor's signature:				Date of Signatur	e:	
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Typewritten Full Name of Second Inventor:	Paul A	Spence		Citizenship:	Canada	
Inventor's signature:	Paul	Species		Date of Signatur	e: fly 12/07	
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